

NATIONAL INSTITUTIONAL RANKING FRAMEWORK

Methodology for Ranking of Academic Institutions in India

(RANKING METRICS FOR RESEARCH INSITUTION)



Ministry of Human Resource Development

Summary of Ranking Parameters and Weightages- 2022

(Research Institution)

Sr.	Parameter	Marks	Weightage
No.			
1	Metric for Quantitative Research	100	0.30
2	Metric for Qualitative Research	100	0.30
3	Metric for Students and Faculty Contribution	100	0.20
4	Outreach and Inclusivity	100	0.10
5	Perception	100	0.10

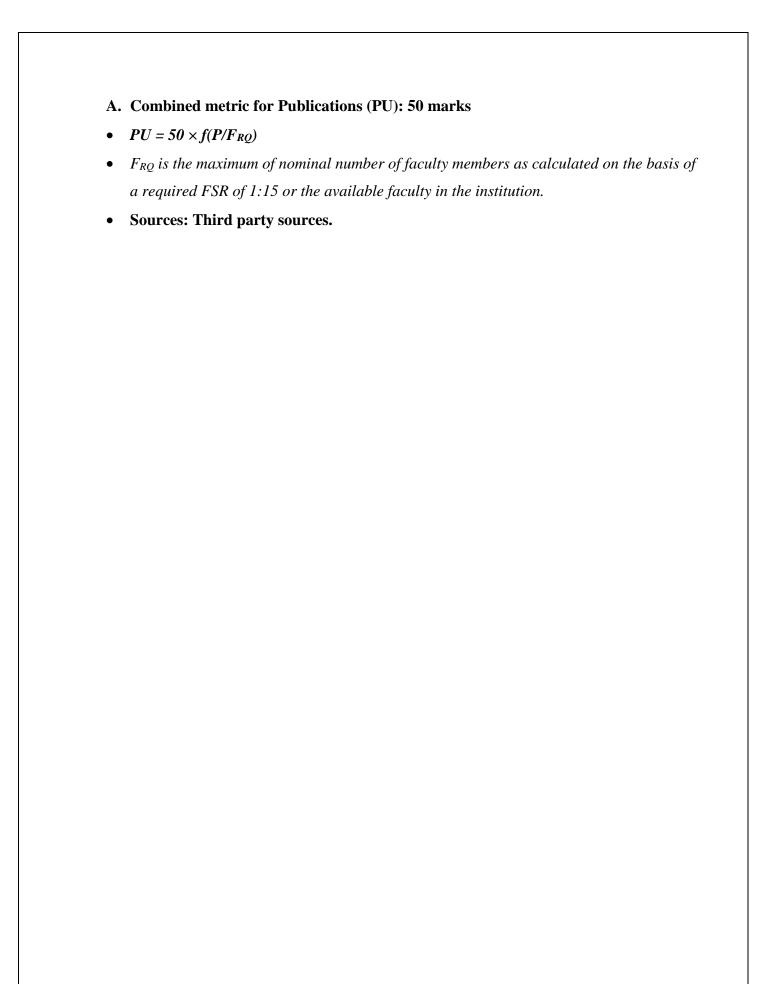
S.No.	Parameters	
1.	Metric for Quantitative Research Ranking weight: 0.30	
	A. Combined metric for Publications (PU): 50 marks B. Combined metric for Citations Index (CI): 25 marks C. Footprint of Projects and Professional Practice (FPPP): 25 marks	
2.	Metric for Qualitative Research	100
	Ranking weight: 0.30	
	 A. Combined metric for publications in 1st Quartile JCR(JCRQ1): 30 marks B. Combined metric for Publications in Top 25 (TOP25): 30 marks C. IPR and Patents: Published and Granted (IPR): 20 marks D. H- Index (HI): 20 marks 	
3.	Metric for Students and Faculty Contribution	100
	Ranking weight: 0.20	
	 A. Combined metric for Faculty with PhD (or equivalent) and Experience (FQE): 40 marks B. Student Strength including Doctoral Students (SS): 30 marks C. Metric for Number of Ph.D. Students Graduated (GPHD): 30 marks 	
4.	Outreach and Inclusivity (OI)	100
	Ranking weight: 0.10	
	 A. Percentage of Students from other States/Countries (Region Diversity RD): 30 marks B. Percentage of Women (Women Diversity WD): 30 marks C. Percentage of SC/ST Students(SCTS): 20 marks D. Facilities for Physically Challenged Students (PCS): 20 marks 	
5.	Perception (PR)	100
	Ranking weight: 0.10	
	A. Peer Perception: Employers & Academic Peer (PR): 100 marks	

1. Metric for Quantitative Research: 100 marks

- Ranking weight: 0.30
- Overall Assessment Metric:

$$QNR = PU (50) + CI (25) + FPPP (25)$$

- Component metrics based on:
 - A. Combined metric for Publications: PU
 - **B.** Combined metric for Citations Index : CI
 - C. Footprint of Projects and Professional Practice: FPPP



B. Combined metric for Citations Index (CI): 25 marks

- $CI = 25 \times f (CC/F_{RQ})$
- Here CC is Total Citation Count over previous three years.
- **F**_{RQ} is the maximum of nominal number of faculty members as calculated on the basis of a required FSR of 1:15 or the available faculty in the institution.
- Primary Data: Third Party Sources.

C. Footprint of Projects and Professional Practice (FPPP): 25 marks

- $\mathbf{FPPP} = \mathbf{FPR} + \mathbf{FPC}$
- **FPR** = $18.75 \times f(RF)$
- RF is average annual research funding earnings (amount actually received in rupees) per faculty at institute level in previous three years.
- FPC = $6.25 \times f$ (CF)
- CF is average annual consultancy amount (amount actually received in rupees) per faculty at institute level in previous three years.

2. Metric for Qualitative Research (QLR): 100 marks

- Ranking weight: 0.30
- Overall Assessment Metric:

$$QLR = JCRQ1(30) + TOP 25 (30) + IPR(20) + HI (20)$$

The component metrics explained on following pages.

- A. Combined metric for publications in 1st Quartile: JCRQ1
- B. Combined metric for Publications in Top 25: TOP25
- C. IPR and Patents: Published and Granted: IPR
- D. H- Index: HI

A. Combined metric for publications in 1st Quartile JCR(JCRQ1): 30 Marks

- $JCRQ1 = 30 \times f(JCRQ1/F_{RQ})$
- *JCRQ1* is weighted number of publications in 1st Quartile as ascertained from suitable third party sources.
- F_{RQ} is the maximum of nominal number of faculty members as calculated on the basis of a required FSR of 1:15 or the available faculty in the institution.
- Sources: Third party sources.

B. Combined metric for Publications in Top 25 (TOP25): 30 marks

- $TOP25 = 30 \times f (TOP25P/P)$
- *P is weighted number of publications as ascertained from suitable third party sources.*
- TOP25P: Number of citations in top 25 percentile averaged over the previous three years.
- Primary Data: Third Party Sources.

C. IPR and Patents: Patents Published and Granted (IPR): 20 marks

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$$IPR = IPG + IPP$$

$$IPG = 10 \times f(PG)$$

• PG is the number of patents granted over the previous three years.

$$IPP = 10 \times f (PP)$$

- PP: No. of patents published over the previous three years.
- Primary Data: Third Party Sources.

D. H-Index:20 marks			
H-Index=20*HI			
HI is weighted number of H Index as ascertained from suitable third party sources (Web of science and Scopus)			

3. Metric for Students and Faculty Contribution (SFC): 100 marks

- Ranking weight: 0.20
- Overall Assessment Metric:
- SFC = FQE(40) + SS(30) + GPHD(30)
- The component metrics are explained on the following pages:
 - A. Combined metric for Faculty with PhD (or equivalent) and Experience: FQE
 - B. Student Strength including Doctoral Students: SS
 - C. Metric for Number of Ph.D. Students Graduated: GPHD

A. Combined Metric for Faculty with PhD (or equivalent) and Experience (FQE): 40 marks

- $FQ = 20 \times (F_{RA}/95)$, $F_{RA} < 95\%$;
- $FQ = 20, F_{RA} \ge 95\%$.
- Here F_{RA} is the percentage of Faculty with Ph.D. (or equivalent qualification) with respect to the total no. of faculty required or actual faculty whichever is higher, in the previous year.

F1=Fraction with Experience up to 8 years;

F2= Fraction with Experience between 8+ to 15 years;

F3=Fraction with Experience > 15 years.

• $FE = 6 \min (3F1, 1) + 6 \min (3F2, 1) + 8 \min (3F3, 1)$

Rationale: Full marks for a ratio of 1:1:1

- $\mathbf{FQE} = \mathbf{FQ} + \mathbf{FE}$
- Primary Data: Faculty List in the Prescribed Format.

B. Student Strength including Ph.D. students (SS): 30 Marks

- $SS = f(N_T, N_E) \times 20 + f(N_P) \times 10$
- The functions $f(N_T, N_E)$ and $f(N_p)$ are functions to be determined by NIRF.
- N_T : Total sanctioned approved intake in the institution considering all UG and PG programs of the institution.
- N_E: Total number of students enrolled in the institution considering all UG and PG Programs of the institution.
- $oldsymbol{N}_p$ = Total number of students enrolled for the doctoral program till previous academic year.
- Primary Data: To be provided in a prescribed Format.

C. Metric for Number of Ph.D Students Graduated (GPHD): 30 Marks

- GPHD = $30 \times f(N_{phd})$
- $\bullet \quad N_{phd} = Average \ number \ of \ Ph.D \ students \ graduated \ (awarded \ Ph.D) \ over \ the \ previous \\ three \ years.$
- Primary Data: Number of graduating Ph.D. Students as reflected in the approved Annual Report/Convocation Report to be provided in the prescribed format.

4. Outreach and Inclusivity (OI): 100 marks

- Ranking weight: 0.10
- Overall Assessment Metric: OI = RD(30) + WD(30) + ESCS(20) + PCS(20)
- The component metrics are explained on following pages:
 - A. Percentage of Students from other States/ Countries (Region Diversity): RD
 - B. Percentage of Women (Women Diversity): WD
 - C. Percentage of SC/ST Students: SCTS
 - D. Facilities for Physically Challenged Students: PCS

A. Percentage of Students from other States/ Countries (Region Diversity RD): 30 marks
• RD = $25 \times$ fraction of total students enrolled from other states + $5 \times$ fraction of students enrolled from other countries
• Primary Data: To be provided in the prescribed format.

B. Percentage of Women (Women Diversity WD): 30 marks

- WD = $15 \times (N_{WS}/50) + 15 \times (N_{WF}/20)$
- N_{WS} are the percentage of Women students.
- N_{WF} are the percentage of Women Faculty including the women members in senior administrative positions, such as Heads of Departments, Deans or Institute Heads.
- Expectation: 50% women students and 20% women faculty.
- Primary Data: To be provided in the prescribed format.

C. Percentage of SC/ST Students (S	CTS):	20 marks
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- SCTS = $20 \times f(N_{scts})$
- \bullet N_{scts} is the percentage of UG students being provided full tuition fee reimbursement by the institution to pursue their degree programs.
- Primary Data: To be provided by the institution in the prescribed format.

D. Facilities for Physically Challenged Students (PCS): 20 marks

• PCS = 20 marks, if the Institute provides full facilities for physically challenged students, as outlined.

Else, in proportion to facilities.

- Basis: Verifiable Responses to Questions.
- Primary Data: To be provided in a prescribed format.

5. Perception (PR) - 100 marks

• Ranking weight: 0.1

• Overall Assessment Metric: PR = 100

• Component metrics are explained in the following pages:

A. Peer Perception: Employers & Academic Peers: (PR)

A. Peer Perception: Employers & Academic Peer (PR): 100 marks

- This is to be done through a survey conducted over a large category of Employers, Professionals from Reputed Organizations and a large category of academics to ascertain their preference for graduates of different institutions.
- Comprehensive list will be prepared taking into account various sectors, regions, etc.
- Lists to be updated periodically.