

## NATIONAL INSTITUTIONAL RANKING FRAMEWORK

**Methodology for Ranking of Academic Institutions in India** 

(RANKING METRICS FOR OPEN UNIVERSITY)



**Ministry of Human Resource Development** 

# Summary of Ranking Parameters and Weightages- 2024 (Open University)

Sr. No.	Parameter	Marks	Weightage
1	Teaching, Learning & Resources	100	0.40
2	Research and Professional Practice	100	0.15
3	Graduation Outcomes	100	0.25
4	Outreach and Inclusivity	100	0.10
5	Perception	100	0.10

S. No.	Parameters	Marks
1.	Teaching, Learning & Resources (TLR)	100
1,	Ranking weight: 0.40	
	A. Student Strength including Doctoral Students (SS): 20 marks	
	B. Faculty per Discipline with emphasis on Permanent Faculty (FPP): 20	
	marks	
	C. Combined metric for Faculty with PhD (or equivalent) and	
	Experience (FQE): 10 marks	
	D. Financial Resources and their Utilization (FRU): 20 marks	
	E. Online Education: Online Completion of Syllabus & Exams and Swayam	
	(OE): 10 marks	
	F. Combined metric for Units/Modules (SLM/Audio/Video) Developed &	
	Revised (MDR): 10 marks	
	G. Combined metric for Multiple Entry/Exit, Indian Knowledge System, and	
	Regional languages (MIR): 10 marks	
2.	Research and Professional Practice (RP)	100
	Ranking weight: 0.15	
	A. Combined metric for Publications (PU): 50 marks	
	B. Combined metric for Quality of Publications (QP): 30 marks	
	C. Footprint of Projects and Professional Practice (FPPP): 20 marks	
3.	Graduation Outcomes (GO)	100
	Ranking weight: 0.25	100
	A. Metric for Number of UG Students Graduated (GUG):40 marks	
	B. Metric for Number of PG Students Graduated (GPG): 40 marks	
	C. Metric for Number of Ph.D. Students Graduated (GPHD): 20 marks	
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4.	Outreach and Inclusivity (OI)	100
	Ranking weight: 0.10  A. Percentage of Students from Rural Areas and Urban Areas (Region	
	Diversity RD): 25 marks	
	B. Percentage of Women (Women Diversity WD): 30 marks	
	C. Percentage of Students from Socially Challenged Background	
	(SC/ST/OBC) (SCB): 25 marks	
	D. Facilities for Physically Challenged Students (PCS): 20 marks	
	D. Tuemides for Thysically Chancinged Students (Tes). 20 marks	
5.	Perception (PR)	100
J.	Ranking weight: 0.10	100
	A. Peer Perception: Academic Peers and Employers (PR): 70 marks	
	B. NAAC Accreditation: 30 marks	

## 1. Teaching, Learning & Resources (TLR): 100 marks

- Ranking weight: 0.40
- Overall Assessment Metric:

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TLR = SS(20) + FPP(20) + FQE(10) + FRU(20) + OE(10) + MDR(10) + MIR(10)
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- Component metrics based on :
  - A. Student Strength including Doctoral Students: SS
  - B. Faculty per Discipline with emphasis on Permanent Faculty: FPP
  - C. Combined metric for Faculty with PhD (or equivalent) and Experience: FQE
  - D. Financial Resources and Their Utilisation: FRU
  - E. Online Education: Online Completion of Syllabus & Exams and Swayam: OE
  - F. Combined metric for Units/Modules (SLM/Audio/Video) Developed & Revised: MDR
  - G. Combined metric for Multiple Entry/Exit, Indian Knowledge System and Regional languages: MIR

## A. Student Strength including Doctoral students (SS): 20 Marks

- $SS = f(N_T, N_E) \times 15 + f(N_P) \times 5$
- The functions  $f(N_T, N_E)$  and  $f(N_p)$  are functions to be determined by NIRF.
- $N_T$ : Total sanctioned approved intake in the institution considering all UG and PG programs of the institution.
- N<sub>E</sub>: Total number of students enrolled in the institution considering all UG and PG programs of the institution.
- ullet N<sub>p</sub> = Total number of students enrolled for the doctoral program till the previous academic year.
- Primary Data: To be provided in a prescribed Format.

#### B. Faculty per Discipline with emphasis on Permanent Faculty (FPP): 20 marks

- FPP =  $20 \times [15 \times (F/N)]$
- $N = N_T + N_p$
- F: Full-time regular faculty in the institution in the previous year.
- Regular appointment means Faculty on a full-time basis. Faculty on a contract basis/ad-hoc basis will be considered if the concerned faculty has taught in both semesters of academic year 2022-23.
- Faculty members with Ph.D. and Masters degrees will be considered and counted here. Faculty members with a Bachelor's degree will not be counted.
- Expected ratio is 1:15 to score maximum marks.
- For F/N < 1: 50, FSR will be set to zero.
- Primary Data: Faculty List to be provided in the Prescribed Format.

C. Combined Metric for Faculty with PhD (or equivalent) and Experience (FQE): 10 marks

- $FQ = 5 \times (F_{RA}/95)$ ,  $F_{RA} < 95\%$ ;
- FQ = 5,  $F_{RA} \ge 95\%$ .
- Here  $F_{RA}$  is the percentage of Faculty with Ph.D. (or equivalent qualification) with respect to the total no. of faculty required or actual faculty whichever is higher, in the previous year.

F1=Fraction with Experience up to 8 years;

F2= Fraction with Experience between 8+ to 15 years;

F3=Fraction with Experience > 15 years.

$$FE = 3min(3F1, 1) + 3min(3F2, 1) + 4min(3F3, 1)$$

Rationale: Full marks for a ratio of 1:1:1

- $\mathbf{FQE} = \mathbf{FQ} + \mathbf{FE}$
- Primary Data: Faculty List in the Prescribed Format.

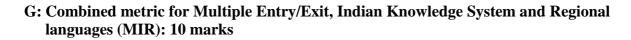
## D: Financial Resources and their Utilisation (FRU): 20 Marks

- FRU =  $5 \times f(BC) + 15 \times f(BO)$
- BC: Average Annual Capital Expenditure per student for the previous three years. (Excluding expenditure on construction of new buildings)
- BO: Average Annual Operational (or Recurring) Expenditure per student for the previous three years. (Excluding maintenance of hostels and allied services)
- Primary Data: Figures in prescribed format for each.

<b>E</b> :	Online Education: Online Completion of Syllabus & Exams and Swayam (OE): 10 marks
	• $OE = 10 \times f(OE)$

 $F: \ Combined \ metric \ for \ Units/Modules \ (SLM/Audio/Video) \ Developed \ \& \ Revised: \\ 10 \ marks$ 

- $MDR = 10 \times (f(MD) + f(MR))$
- MD: No. of Units/Modules Develop in Last three years
- MR: No. of Units/Modules Revised in Last three years
- Primary Data: Figures in prescribed format for each.



 $MIR = 4 \times MEE + 4 \times IKS + 2 \times RL$ 

MEE = Implementation of Multiple Entry/Exit

IKS = Introduction of courses of Indian Knowledge System

RL = Courses being offered in Regional Languages

# 2. Research and Professional Practice (RP): 100 marks

- Ranking weight: 0.30
- Overall Assessment Metric:

$$RP = PU(50) + QP(30) + FPPP(20)$$

- The component metrics are explained on the following pages.
- A. Combined Metric for Publications: PU
- B. Combined Metric for Quality of Publications: QP
- C. Footprint of Projects, Professional Practice and Executive Development Programs: FPPP

## A.Combined metric for Publications (PU): 50 marks

- $PU = 50 \times f(P/F_{RQ})$
- *P is a weighted number of publications as ascertained from suitable third-party sources.*
- $F_{RQ}$  is the maximum of nominal number of faculty members as calculated on the basis of a required FSR of 1:15 or the available faculty in the institution.
- Sources: Third party sources.

## B.Combined metric for Quality of Publications (QP): 30 Marks

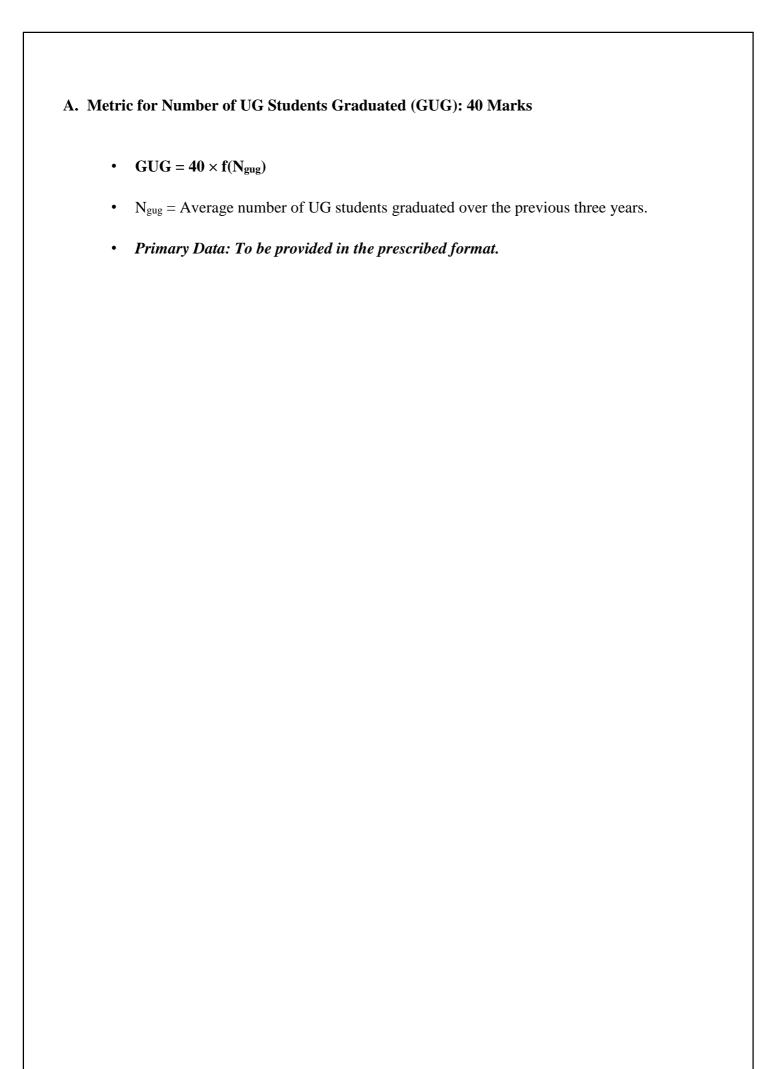
- QP =  $20 \times f (CC/F_{RQ}) + 10 \times f (TOP25P/P)$
- Here CC is the Total Citation Count over the previous three years.
- P is as computed for PU.
- TOP25P: Number of citations in the top 25 percentile averaged over the previous three years.
- $F_{RQ}$  is the maximum of nominal number of faculty members as calculated on the basis of a required FSR of 1:15 or the available faculty in the institution.
- Primary Data: Third-Party Sources.

# D. Footprint of Projects, Professional Practice and Executive Development Programs /Management Development Programs (FPPP): 20 marks

- $\mathbf{FPPP} = \mathbf{FPR} + \mathbf{FPC}$
- $\mathbf{FPR} = 10 \times \mathbf{f}(\mathbf{RF})$
- RF is the average annual research funding earnings (amount received in rupees) at institute level in previous three years.
- **FPC** =  $10 \times f(CF)$
- CF is the average annual consultancy amount (amount actually received in rupees) at institute level in previous three years.
- Primary Data: To be provided by the institution in prescribed format.

# 3. Graduation Outcome (GO):100 marks

- Ranking weight: 0.25
- Overall Assessment Metric:
- GO = GUG(40) + GPG(40) + GPHD(20)
- The component metrics are explained on the following pages:
  - A. Metric for Number of UG Students Graduated: GUG
  - B. Metric for Number of PG Students Graduated: GPG
  - C. Metric for Number of Ph.D. Students Graduated: GPHD



# B. Metric for Number of UG Students Graduated (GPG): 40 Marks $\bullet \quad GPG = 40 \times f(N_{gpg})$

- $\bullet \quad N_{gpg} = Average \ number \ of \ PG \ students \ graduated \ over \ the \ previous \ three \ years.$
- Primary Data: To be provided in the prescribed format.

## C. Metric for Number of Ph.D Students Graduated (GPHD): 20 Marks

- **GPHD** =  $20 \times f(Nphd)$
- Nphd = Average number of Ph.D students graduated (awarded Ph.D) over the previous three years.
- Primary Data: Number of graduating Ph.D. Students as reflected in the approved Annual Report/Convocation Report to be provided in the prescribed format.

## 4. Outreach and Inclusivity (OI): 100 marks

- Ranking weight: 0.10
- Overall Assessment Metric: OI = RD(25) + WD(30) + ESCS(25) + PCS(20)
- The component metrics are explained on the following pages:
  - A. Percentage of Students from Rural/Urban Areas (Region Diversity): RD
  - B. Percentage of Women (Women Diversity): WD
  - C. Percentage of Students from Socially Challenged Background (SC/ST/OBC) (SCB)
  - D. Facilities for Physically Challenged Students: PCS

	nd Urban Areas (Region Diversity RD): 30 marks	
$RD = 15 \times fraction \ of \ total \ students \ enrolled \ from \ rural \ areas + 15 \times fraction \ of \ students \ enrolled \ from \ urban \ area$		
Primary Data: To be provided in the pr	rescribed format.	

## B. Percentage of Women (Women Diversity WD): 30 marks

- WD =  $15 \times (N_{WS}/50) + 15 \times (N_{WF}/20)$
- N<sub>WS</sub> are the percentage of Women students.
- N<sub>WF</sub> are the percentage of Women Faculty including women members in senior administrative positions, such as Heads of Departments, Deans or Institute Heads.
- Expectation: 50% women students and 20% women faculty.
- Primary Data: To be provided in the prescribed format.



- SCB =  $25 \times f(N_{scb})$
- ullet N<sub>scb</sub> is the percentage of students from Socially Challenged Background.
- Primary Data: To be provided by the institution in a prescribed format.

D. Fac	ilities for Physically Challenged Students (PCS): 20 marks
•	PCS = 20 marks, if the Institute provides full facilities for physically
	challenged students, as outlined.
	Else, in proportion to facilities.
•	Basis: Verifiable Responses to Questions.
•	Primary Data: To be provided in a prescribed format.

# 5. Perception (PR) - 100 marks

• Ranking weight: 0.1

• Overall Assessment Metric: PR = PR(70) + NAAC(30)

• Component metrics are explained in the following pages:

A. Peer Perception: Employers & Academic Peer: PR

**B. NAAC Accreditation: NAAC** 

## A. Peer Perception: Employers & Academic Peer (PR): 70 marks

- This is to be done through a survey conducted over a large category of Employers, Professionals from Reputed Organizations and a large category of academics to ascertain their preference for graduates of different institutions.
- Comprehensive list will be prepared taking into account various sectors, regions, etc.
- Lists to be updated periodically.

